

12/19/00
jc971 U.S. PTO

12-20-00

A

Assistant Commissioner for Patents
Washington, DC 20231
ATTN: BOX UTILITY APPLICATION

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
Docket No.: 00-442
Date: December 19, 2000

jc914 U.S. PTO
09/740443
12/19/00

Sheet 1 of 2

U.S. Express Mail Label No.: EL744439545US

Inventor Name(s):
BRIAN P. ANDERSON

Title:
SYSTEM AND METHOD FOR PERFORMING SERVICE OPERATIONS

- ☒ Fee Transmittal Form Attached in Duplicate
- ☒ Specification and Claim(s) [Total Pages]
- ☒ Drawing(s) [Total Sheets]

Declaration [Total Pages]

- ☒ Newly Executed (Original or Copy)
- ☐ Copy From Prior Application (37 CFR § 1.63(d))
- ☐ Deletion of Inventor(s) (37 CFR § 1.63(d)(2))
(Signed Statement Attached)

- ☒ Assignment Papers (Cover Sheet and Document(s))
- ☐ 37 CFR § 3.73(b) Statement (if applicable) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (Specifically Itemized)
- ☐ Certified Copy of Priority Document(s)
- ☐ Other

--Continue Next Page--

09740443-121900

If a Continuing Application

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)

of prior Application No.: ;

Examiner : ; and

Group/Art Unit: .

☐ Cancel Claims:

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

☒ Customer Number or Bar Code Label:

or

☐ Correspondence address below:

Name:

Address:

City:

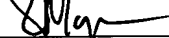
State:

Zip Code:

Country:

Attorney/Agent: Jeffrey L. Myers

Registration No.: 44,252

Signature: 
Caterpillar Inc.

Date: 12/19/2002

947 U.S. PTO
66-740443
2/19/00

Complete if Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	BRIAN P. ANDERSON
Examiner Name	(Unassigned)
Group / Art Unit	(Unassigned)
Attorney Docket No.	00-442

TOTAL AMOUNT OF PAYMENT	(\$)	1.156
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FEE CALCULATION (continued)

- ### 3. ADDITIONAL FEES

03-1129

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐
- Check
- ☐
- Money Order
- ☐
- Other

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$10 million	Less than \$10 million
3. Employees	More than 100	Less than 100
4. Debt	More than \$1 million	Less than \$1 million
5. Equity	More than \$1 million	Less than \$1 million
6. Complexity	More complex	Less complex
7. Industry	More volatile	Less volatile
8. Geography	More international	Less international
9. Regulation	More regulated	Less regulated
10. Compliance	More complex	Less complex
11. Reporting	More complex	Less complex
12. Control	More complex	Less complex
13. Ownership	More complex	Less complex
14. Management	More complex	Less complex
15. Structure	More complex	Less complex
16. Process	More complex	Less complex
17. System	More complex	Less complex
18. Policy	More complex	Less complex
19. Procedure	More complex	Less complex
20. Practice	More complex	Less complex
21. Method	More complex	Less complex
22. Technique	More complex	Less complex
23. Approach	More complex	Less complex
24. Strategy	More complex	Less complex
25. Tactic	More complex	Less complex
26. Plan	More complex	Less complex
27. Program	More complex	Less complex
28. Project	More complex	Less complex
29. Task	More complex	Less complex
30. Activity	More complex	Less complex
31. Operation	More complex	Less complex
32. Process	More complex	Less complex
33. System	More complex	Less complex
34. Policy	More complex	Less complex
35. Procedure	More complex	Less complex
36. Practice	More complex	Less complex
37. Method	More complex	Less complex
38. Technique	More complex	Less complex
39. Approach	More complex	Less complex
40. Strategy	More complex	Less complex
41. Tactic	More complex	Less complex
42. Plan	More complex	Less complex
43. Program	More complex	Less complex
44. Project	More complex	Less complex
45. Task	More complex	Less complex
46. Activity	More complex	Less complex
47. Operation	More complex	Less complex
48. Process	More complex	Less complex
49. System	More complex	Less complex
50. Policy	More complex	Less complex
51. Procedure	More complex	Less complex
52. Practice	More complex	Less complex
53. Method	More complex	Less complex
54. Technique	More complex	Less complex
55. Approach	More complex	Less complex
56. Strategy	More complex	Less complex
57. Tactic	More complex	Less complex
58. Plan	More complex	Less complex
59. Program	More complex	Less complex
60. Project	More complex	Less complex
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62. Activity	More complex	Less complex
63. Operation	More complex	Less complex
64. Process	More complex	Less complex
65. System	More complex	Less complex
66. Policy	More complex	Less complex
67. Procedure	More complex	Less complex
68. Practice	More complex	Less complex
69. Method	More complex	Less complex
70. Technique	More complex	Less complex
71. Approach	More complex	Less complex
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79. Operation	More complex	Less complex
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81. System	More complex	Less complex
82. Policy	More complex	Less complex
83. Procedure	More complex	Less complex
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94. Activity	More complex	Less complex
95. Operation	More complex	Less complex
96. Process	More complex	Less complex
97. System	More complex	Less complex
98. Policy	More complex	Less complex
99. Procedure	More complex	Less complex
100. Practice	More complex	Less complex

BASIC FEE					
Large Entity		Small Entity			
Fee	Fee	Fee	Fee	Fee	Description

Fee Code	Fee Code	Fee Code	Fee Description	Fee Paid
(\$)	(\$)	(\$)		
101 690	201 345		Utility filing fee	710
106 310	206 155		Design filing fee	
107 480	207 240		Plant filing fee	
108 690	208 345		Reissue filing fee	
114 150	214 75		Provisional filing fee	

SUBTOTAL (1)	(\$)	710
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
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	Extra Claims		Fee from below	Fee Paid
Total Claims	27	-20** = 7	18	126
Independent Claims	7	-3** = 4	80	320
Multiple Dependent				

****or number previously paid, if greater; For Reissues, see below**

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$10 million	Less than \$10 million
3. Liabilities	More than \$5 million	Less than \$5 million
4. Employees	More than 50	Less than 50
5. Industry	Not a non-profit	Non-profit

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	446
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Code	(\$)	Code	(\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	190	Extension for reply within second month
117	870	217	435	Extension for reply within third month
118	1,360	218	680	Extension for reply within fourth month
128	1,850	228	925	Extension for reply within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,210	241	605	Petition to revive - unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$)	
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Complete (if applicable)

Name (Print/Type)	Jeffrey L. Myers
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Registration No. (Attorney/Agent)	44,252
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Telephone (309) 636-1843

Signature

Date	12/19/2000
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Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.